



Risk Assessment Template

Risk Assessment Template

Prepared for:

ComplianceAutomator.com

Contact: Tori Patterson

Technology Industry

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ComplianceAutomator.com

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0.1 Intake Summary

Assumptions Used

- Industry not provided; defaulted to Technology.
 - Operating model not provided; assume lean startup processes.
 - Company size not provided; assume startup/SMB scale.
 - Regions not provided; assume US-focused operations.
 - Data types not provided; assume standard customer and operational data.
 - Hosting not provided; assume cloud-hosted (AWS/GCP/Azure).
 - Security maturity not provided; assume early-stage controls with room to mature.
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0.2 How to Use This Compliance Toolkit

1. Replace any remaining placeholders (if any).
 2. Assign document owners and reviewers.
 3. Review recommended status tables and adjust targets.
 4. Schedule an internal review meeting.
 5. Store finalized documents in your compliance repository.
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1. Risk Assessment and Management Templates

1.1 ComplianceAutomator.com

1.2 Template 1: Comprehensive Risk Assessment Matrix

Company Information

- **Company:** ComplianceAutomator.com
- **Assessment Period:** Customer action required to Customer action required
- **Department/Function:** Customer action required
- **Assessment Lead:** Customer action required
- **Review Date:** Customer action required

Risk Assessment Matrix

Risk ID	Risk Category	Risk Description	Likelihood (1-5)	Impact (1-5)	Risk Score	Current Controls	Risk Owner	Status
R001	Technology	Data breach in client compliance data	3	5	15	Encryption, access controls, monitoring	CTO	Active
R002	Regulatory	Non-compliance with GDPR requirements	2	4	8	Privacy policies, data mapping	Compliance Officer	Monitor
R003	Operational	System downtime affecting client services	3	4	12	Redundancy, backup systems	Operations Manager	Active
R004	Financial	Loss of major client contracts	2	5	10	Diversification, SLAs	Sales Director	Monitor
R005	Reputational	Negative publicity from compliance failures	2	4	8	PR plan, transparency protocols	Marketing Director	Monitor

Risk Scoring Legend

- **Likelihood:** 1 = Very Low, 2 = Low, 3 = Medium, 4 = High, 5 = Very High
- **Impact:** 1 = Minimal, 2 = Minor, 3 = Moderate, 4 = Major, 5 = Severe